

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Joseph L. Hellerstein et al.

SERIAL NO.: Unassigned

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FOR: SYSTEM AND METHOD FOR ON-LINE ADAPTIVE PREDICTION
USING DYNAMIC MANAGEMENT OF MULTIPLE SUB-MODELS



INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

U.S. Patents

U.S. Patent No. 5,862,507 issued on 1/19/99 to Wu et al.

Other Documents

1. J.L. Hellerstein et al., "An Approach to Predictive Detection for Service Level Management," Integrated Network Management VI, edited by M. Sloman et al., IEEE Publishing, pp. 309-322, May 1999.

2. V. Kadiramanathan et al., "A Stochastic Method for Neural-Adaptive Control of Multi-Modal Nonlinear Systems," UKACC International Conference on Control, pp. 49-53, September 1998.

3. R. Rao, "Dynamic Appearance-Based Recognition," IEEE Computer Society Conference on Computer Vision and Pattern Recognition, pp. 540-546, June 1997.

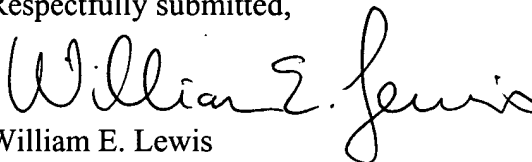
4. P. Eide et al., "An MMAE Failure Detection System for the F-16," IEEE Transactions on Aerospace and Electronic Systems, vol. 32, no. 3, pp. 1125-1136, July 1996.

5. M. Basseville et al., "Detection of Abrupt Changes: Theory and Application," Prentice Hall, pp. 29-42, 1993.

It is believed that there is no fee due in conjunction with the filing of this Information Disclosure Statement. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Ryan & Mason, L.L.P. Deposit Account No. 50-0762** as required to correct the error.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,

A handwritten signature in cursive script that reads "William E. Lewis".

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